

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DEC 15 2003  
PATENT & TRADEMARK OFFICE

Patent Application of )  
Szung Lu et al. ) Group Art Unit: 1762  
Application No.: 10/602,888 ) Examiner: Unassigned  
Filed: June 25, 2003 ) Confirmation No.: 2267  
For: GLYCIDYL (METH) ACRYLATE POWDER )  
COATING COMPOSITIONS CONTAINING )  
CAPROLACTONE-DERIVED SIDE CHAINS )  
)

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

No additional fee for submission of an IDS is required.

The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.

A statement under 37 C.F.R. § 1.97(e) is also enclosed.

A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.

Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.

A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: Dec. 15, 2003

By: Melissa M. Hayworth  
Melissa M. Hayworth  
Registration No. 45,774

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

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**INITIAL  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since being filed within the time period set forth in 37 C.F.R. § 1.97(b) no fee or statement is required.

Information Disclosure Statement  
Application No. 10/602,888  
Attorney's Docket No. 018940-023  
Page 2

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

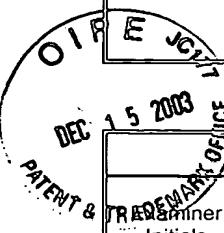
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: Dec. 15, 2003

By: Melissa M. Hayworth  
Melissa M. Hayworth  
Registration No. 45,774

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Substitute for forms 1449A/PTO & 1449B/PTO		ATTORNEY'S DKT No. 018940-023	APPLICATION NO. 10/602,888
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Szuping Lu, et al.	
		FILING DATE June 25, 2003	GROUP 1762



U.S. PATENT DOCUMENTS				
Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,534,178		Zhou et al.	03-18-03
	6,479,588		Lu et al.	11-12-02
	6,359,067		Miyawaki et al.	03-19-02
	6,331,582		Chen et al.	12-18-01
	6,277,917		Jurgetz et al.	08-21-01
	6,121,378		Miyawaki et al.	09-19-00
	6,077,608		Barkac et al.	06-20-00
	6,025,030		Decker et al.	02-15-00
	6,013,733		Singer et al.	01-11-00
	6,008,301		Miyawaki et al.	12-28-99
	5,939,195		Allen et al.	08-17-99
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	5,202,382		Pettit Jr.	04-13-93
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	4,988,767		Pettit Jr.	01-29-91
	4,042,645		Hirota et al.	08-16-77
	3,752,870		Labana	08-14-73

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes No

NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.